

2175

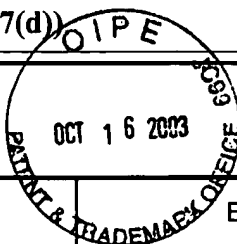
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(d))

Docket No. #14

JP9 1999 0204 US1 11-20-03

In Re Application Of: **Nidenori Nishikawa**



Serial No.

09/742,657

Filing Date

12/21/2000

Examiner

Hassan Mahmoudi

Group Art Unit

2175

Title: **DATABASE SYSTEM INCLUDING HIERARCHICAL LINK TABLE**

RECEIVED

OCT 23 2003

Technology Center 2100

Address to:

Commissioner for Patents

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).

☐ A check in the amount of _____ is attached.

☒ Charge Deposit Account No. 09-0469 (IBM)

in the amount of \$180.00

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on 10/14/2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Dorothea Rubbone

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Michael F. Hoffman
Signature

Michael F. Hoffman - Reg. No. 40,019
Hoffman, Warnick & D'Alessandro LLC
Three E-Comm Square
Albany, New York 12207
Telephone: 518-449-0044

Dated: October 14, 2003

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
JP9 1999 0204 US1

In Re Application Of: **Hidenori Nishikawa**

Serial No.
09/742,657

Filing Date
12/21/2000

Examiner
Hassan Mahmoudi

Group Art Unit
2175

Invention: **DATABASE SYSTEM INCLUDING HIERARCHICAL LINK TABLE**

RECEIVED

OCT 23 2003

Technology Center 2100

TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

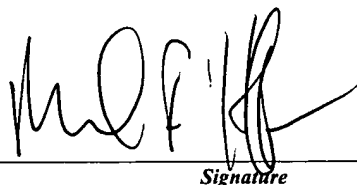
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



Signature

Dated: **October 14, 2003**

Michael F. Hoffman - Reg. No. 40,019
Hoffman, Warnick & D'Alessandro LLC
Three E-Comm Square
Albany, New York 12207
Telephone: 518-449-0044

Certificate of Mailing by First Class Mail

I certify that this document is being deposited on
10/14/2003 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.



Signature of Person Mailing Correspondence

Dorothea Rubbone

Typed or Printed Name of Person Mailing Correspondence

CC: